



Approval Authority Meeting

Thursday, July 9, 2015

10:00 a.m.

LOCATION

Alameda County Sheriff's Office OES

4985 Broder Blvd., Dublin, CA 94568

OES Assembly Room

AGENDA

**1. CALL TO ORDER
ROLL CALL**

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Cathey Eide, City of Oakland
Member	Ryan Broughton, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Al Terrell, County of Sonoma

General Manager Craig Dziedzic

2. APPROVAL OF THE MINUTES (Discussion, Possible Action)

Discussion and possible action to approve the draft minutes from the May 14, 2015 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from May 14, 2015.)* 5 mins

3. GENERAL MANAGER'S REPORT (Discussion)

General Manager Craig Dziedzic will present the General Manager's Report:

- a) 2015 National Homeland Security Conference
- b) Cyber Security End User Trainings
- c) Grant Monitoring Process
- d) California Public Information Sharing Environment Council Meeting

(Documents for this item are a report and 4 appendices from Craig Dziedzic.) 5 mins

- 4. 2015 THIRA PROCESS (Discussion)**
Assistant General Manager Catherine Spaulding will provide an update regarding the Bay Area UASI's 2015 THIRA process. (*Document for this item is a report from Catherine Spaulding and Jason Carroll.*) 10 mins
- 5. CAPABILITY & ASSET RISK UPDATE (Discussion)**
Project Manager Dave Frazer will provide an update on the Bay Area UASI capability and asset risk. (*Document for this item is a report from Dave Frazer.*) 10 mins
- 6. CATASTROPHIC PLAN JUST IN TIME TRAINING VIDEOS (Discussion)**
Project Manager Corinne Bartshire will present the newly developed Catastrophic Plan Just in Time Training videos. (*Documents for this item are a report and appendices from Corinne Bartshire.*) 5 mins
- 7. GRANTS MANAGEMENT SYSTEM UPDATE (Discussion)**
Emergency Services Coordinator and Bay Area UASI website administrator Ethan Baker will present an update on the online grants management system. (*Documents for this item are a report and a PowerPoint from Ethan Baker.*) 5 mins
- 8. BAYRICS JPA QUARTERLY REPORT (Discussion)**
BayRICS General Manager Barry Fraser will provide a quarterly report of the activities of the BayRICS JPA. (*Documents for this item are a report and a PowerPoint from Barry Fraser.*) 5 mins
- 9. FY13 BAY AREA UASI SPENDING REPORT (Discussion)**
Chief Financial Officer Tristan Levarado will provide a report on FY13 Bay Area UASI spending. (*Document for this item is a report from Tristan Levarado.*) 5 mins
- 10. TRACKING TOOL-FUTURE AGENDA ITEMS (Discussion, Possible Action)**
Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool. At the same time, the Approval Authority members will discuss agenda items for future meetings. (*Document for this item is the UASI Approval Authority Tracking Tool.*) 5 mins
- 11. ANNOUNCEMENTS-GOOD OF THE ORDER**
- 12. GENERAL PUBLIC COMMENT**
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.
- 13. ADJOURNMENT**

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.

Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.
- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access

The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI administrative assistant, at least 24 hours prior to the meeting at (415) 353-5223.



**Bay Area UASI Program
Approval Authority Meeting
Thursday, May 14, 2014
10:00 AM**

LOCATION

Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

**REGULAR MEETING MINUTES
DRAFT**

1. Roll Call

UASI Chair Anne Kronenberg called the meeting to order at 10:03 AM and General Manager Craig Dzedzic subsequently took the roll. Vice-Chair Rich Lucia and Members Raymond Guzman, Cathey Eide, Ryan Broughton, and Al Terrell were present. Members Ken Kehmna, Bob Doyle, and Sherrie Collins were absent, but their alternates, respectively Dana Reed, Dave Augustus, and Kevin Oakley, were present. Members Mike Casten and Carlos Bolanos were not present and neither were their alternates.

2. Approval of the Minutes

Chair Kronenberg asked for any comments or questions concerning the minutes from the April 9, 2015 meeting. Seeing none, she requested a motion to approve the minutes.

Motion: Approve the minutes from the April 9, 2015 Approval Authority Meeting

Moved: Vice-Chair Lucia **Seconded:** Member Guzman

Vote: The motion was passed unanimously.

3. General Manager's Report

(a) FY 2014-2015 Bay Area UASI Annual Report

General Manager Craig Dziejdzic announced the release of the FY 2014-2015 Bay Area UASI Annual Report. The report highlights the past year's accomplishments of the Bay Area UASI, which includes increased PRND and cybersecurity capabilities, effective grant monitoring and project management, and enhanced training and exercises.

(b) Management Team Organization Chart and Annual Work Plans

Mr. Dziejdzic presented an update to the Management Team organization chart and annual work plans. The annual work plans align the Management Team's activities with the Bay Area Homeland Security Strategy for enhancing regional capabilities to reduce the risk of terrorism.

(c) FY 2015-2016 Management Team Budget

Mr. Dziejdzic presented the FY 2015-2016 UASI Management Team budget which lists revenue sources from two UASI grants, FY 2013 and FY 2014, and is divided into personnel and operating expenses.

Mr. Dziejdzic concluded his report and subsequently Chair Kronenberg initiated separate votes to approve the Annual Report, Organizational Chart and Work Plans, and Management Team Budget.

Vote on FY 2014-2015 Annual Report:

Motion: Approve the FY 2014-2015 Bay Area UASI Annual Report

Moved: Vice-Chair Lucia **Seconded:** Member Broughton

Vote: The motion was passed unanimously.

Vote on Organizational Chart and Annual Work Plans:

Motion: Approve the Management Team Organizational Chart and Work Plans

Moved: Alternate Reed **Seconded:** Member Terrell

Vote: The motion was passed unanimously.

Vote on FY 2015-2016 Management Team Budget:

Motion: Approve the FY 2015-2016 Management Team Budget

Moved: Member Eide **Seconded:** Member Broughton

Vote: The motion was passed unanimously.

4. FY 15 Proposed Regional Projects

Assistant General Manager Catherine Spaulding stated that FY 15 regional project proposals will be presented directly to the Board for review and approval per their request. The following projects were presented and discussed:

- a) CopLINK by San Mateo County
- b) ARIES by Contra Costa County Sheriff's Office
- c) South Bay Information Sharing Project by Santa Clara County
- d) Solano ARIES/COPLINK Database Connector by Solano County Sheriff's Office
- e) Bay Area Mass Prophylaxis Working Group (BAMPWG) Development & Sustainment Project by Contra Costa County

Project Managers presented to the Board each of the proposals and fielded various questions from Members.

Members of the Board decided to roll the approval vote for the above regional projects into Item 5 since the vote on FY 15 UASI allocations includes the allocations to regional projects.

5. Approval of FY 15 UASI Allocations

Ms. Spaulding presented the proposed FY 15 UASI grant allocations which are divided into the following categories: State Holdback; Major City Allocation; Regional Projects; Management Team; and Hub Projects.

Motion: Approve the proposed FY 15 UASI Allocations

Moved: Member Eide **Seconded:** Alternate Member Reed

Vote: The motion was passed unanimously.

6. UASI FY 15 Proposal Process Lessons Learned

Ms. Spaulding presented the lessons learned from the Bay Area UASI FY 15 proposal process. Strengths from this year include a streamlined and simplified proposal form, good outreach to member jurisdictions, a kick-off meeting with a web-conference option, and Hub meetings facilitated by the Management Team. Weaknesses in the process include technical failings with Adobe Forms Central, proposals submitted with errors, lack of clarity regarding the requirements of hub meetings, and inadequate opportunity to engage the Approval Authority on important regional investments.

Ms. Spaulding recommended a number of improvements for the FY 16 process, which includes: changes to the proposal form, kick off meeting requirements, and the compliance review process undertaken by the Management Team. Additionally, she recommended improvements to the processes concerning Hub meetings and regional review of projects.

Members of the Board discussed the importance of providing new Members with a historical frame of reference for the regional project review process.

7. RCPGP Local Plan Validation Exercise and POD Workshop

Project Manager Corinne Bartshire presented a briefing on the final two projects funded by the FY 11 RCPGP grant, an RCPGP Local Plan Validation Exercise and a Points-of-Distribution (POD) Workshop. As a result of these projects, a robust toolkit of plans, templates, and reports are available to local jurisdictions to assist them with catastrophic planning and POD training. The deliverables from these projects are available at www.bayareauasi.org.

8. FY 13 Regional Recovery Projects

Project Managers Corinne Bartshire and Sriresh Thapa presented on the close out of the regional recovery planning and preparation projects which were funded by the Approval Authority in August 2013. The purpose of the projects was to provide Bay Area jurisdictions with additional tools and capabilities to improve community resiliency through recovery planning. Ms. Bartshire and Mr. Thapa outlined some highlights of the projects and their deliverables, all of which are available on www.bayareauasi.org.

Members discussed the potential impact to operational areas due to a reduction in recovery services announced by The Red Cross.

9. WebEOC Assessment Project Update

Project Manager Sriresh Thapa presented his assessment of WebEOC, a tool that facilitates and supports information sharing and situational awareness for Emergency Operations Centers and other institutions. The goal of the WebEOC Assessment Project is to assess the status, challenges, and best practices for WebEOC implementation in the Bay Area, and develop recommendations to improve information sharing and operational coordination.

Mr. Thapa outlined several recommendations made to Bay Area jurisdictions including interoperability and connectivity between WebEOC and other situational awareness platforms.

10. Reallocation of Grant Funds

Chief Financial Officer Tristan Levardo presented on the reallocation of grant funds for the Bay Area UASI for the period of July 1, 2014 to April 30, 2015.

11. Tracking Tool – Future Agenda Items

There were no additions to the Tracking Tool.

12. Announcements – Good of the Order

General Manager Craig Dziedzic introduced Yoshimi Saito, the new Grants Specialist for the Management Team.

13. General Public Comment

There were no comments from the public.

14. Adjournment

The meeting adjourned at 11:51 AM.



To: Bay Area UASI Approval Authority

From: Craig Dziedzic, General Manager

Date: July 9, 2015

Re: Item 3: General Manager's Report

Staff Recommendation:

None

Action or Discussion Items:

- (a) 2015 National Homeland Security Conference (Discussion Only).
- (b) Cyber Security End User Training (Discussion Only)
- (c) Grant Monitoring Process (Discussion Only)
- (d) California Public Information Sharing Environment Council Meeting (Discussion Only)
- (e) Management Team Update (Discussion Only)

Discussion:

(a) 2015 National Homeland Security Conference

The 9th annual National Homeland Security Conference occurred on June 9 – 11 in San Antonio TX. The conference theme was “Securing our Liberty by Protecting our Homeland”. Keynote Speakers included: Lieutenant General Perry L Wiggins, Commander U.S. Army North (Fifth Army), Chief W. Nim Kidd, TX Division of Emergency Management, and Pierre-Edouard Colliex, Police Attache, Embassy of France.

The Management Team participated in panel discussions that highlighted various projects, including ongoing community resiliency efforts that lead to guides for public/private partnerships; logistics planning and stockpile operations; and the sustainment of grant funding through collaboration and adaptability.

Next year's conference will be in Tampa, Florida and the 2017 conference is scheduled for Buffalo, New York.

(b) Cyber Security End User Training

In May 2013, the Northern California Regional Intelligence Center (NCRIC) established a Cyber Unit to collect and share cyber information as well as to provide intelligence, analysis, and defense. At the

August 8, 2013 Approval Authority meeting, the Approval Authority allocated \$405, 220 from FY 13 UASI funds to support three cyber unit positions for a twelve month period. One of the deliverables of the unit is to provide assessments and strategic analysis of cyber threats to the region as well as developing and providing end user training to NCRIC's regional partners.

NCRIC Cyber Analyst Elizabeth McCracken will be conducting these training sessions in each of the four Hub areas. For the West Hub area, the first session will be scheduled at the San Francisco Dept. of Emergency Management at 1011 Turk Street, San Francisco, CA. The date/time is currently being determined. Dave Fraser will be the lead and will be providing notice of the upcoming sessions.

(c) Grant Monitoring Process

Fiscal monitoring for FY 14-15 will begin this month. Notification letters were sent out the week of June 22nd.

The team will monitor FY 14 UASI grant projects. This monitoring includes equipment inspections, regional procurement, and a review of policies and procedures. 18 agencies in all have been selected for monitoring, three of whom will receive an onsite visit for the first time: Central Marin Police Authority, City of Santa Cruz, and Napa County.

Last year's monitoring targeted FY 11, 12, and 13 projects and focused on Asset Tracking and Management, and procurement. This monitoring resulted in 40 collective recommendations from 19 jurisdictions. Three jurisdictions, however, did not have any findings: County of San Mateo, NCRIC, and San Ramon.

(d) California Public Information Sharing Environment Council Meeting (Discussion Only)

On June 22, 2015, CalOES hosted a CA Public Safety Information Sharing Environment Council (Cal ISE Council) meeting. The BAUASI General Manager attended on behalf of the members of the California Coalition of UASIs. Other Attendees included CalOES Director Mark Ghilarducci and his staff as well as representatives from different state agencies.

At the meeting, Director Ghilarducci discussed the role of CalOES as the coordinator of an information sharing environment with common protocols and standards to build and refine a public safety eco-system. John Wandelt from Georgia Tech Research Institute described his experience in credentialing and access management. He stated the importance of ensuring that these standards are "easy, agile, and establish trust".

The agreed next steps is to establish a Cal ISE Technical Advisory Committee composed of public safety and technical experts who could research, develop and propose solutions to the Cal ISE Council for consideration and approval. The Cal ISE Technical Advisory Committee will consist of subject matter experts from various Council member agencies, including:

- Bay Area Regional Interoperable Communications Systems Authority (BayRICS)
- Regional Information Sharing Environment representatives from the Bay Area

(e) Management Team Update

Srijesh Thapa, the Whole Community and Communications project manager, no longer works for the Management Team. We have posted the position on the website for the Dept. of Human Resources for the City and County of San Francisco (www.sfdhr.org). The Management Team will be reaching out to Approval Authority members who wish to participate on the hiring panel. If you are aware of any interested candidates, please encourage them to apply.



To: Bay Area UASI Approval Authority
From: Catherine Spaulding, Assistant General Manager
Date: July 9, 2015
Re: Item 4: 2015 THIRA Process

Staff Recommendation:

None

Action or Discussion Items:

Discussion only

Discussion:

The Threat and Hazard Identification and Risk Assessment (THIRA) is a four step risk assessment process that helps stakeholders to understand risks and estimate capability requirements. FEMA requires all states, UASIs, and tribal nations to complete a THIRA on an annual basis. The Management Team is planning a refresh of the 2014 THIRA for purposes of the 2015 submission requirement. There are only two significant changes anticipated at this time:

- 1) We plan to add a radiological/nuclear scenario
- 2) This year FEMA has significantly expanded its requirements for completing the resource estimation section of the THIRA.

Key Dates:

- Monday August 17 – Management Team distributes updated draft scenarios to stakeholders and collects SME input via an online survey
- Wednesday September 23 – THIRA consultation workshop
- Thursday November 12 – THIRA presented to the Approval Authority for approval
- Thursday December 31 – THIRA must be submitted to DHS/FEMA.

Unfortunately we continue to experience uncertainty as to the timing of the Haystax contract renewal, and so these dates are subject to change.

Jason Carroll, Haystax Technology Project Manager, will provide a background briefing on the THIRA and its requirements. Haystax is contracted by Cal OES to support the preparation of all California UASI THIRAs.

BAY AREA UASI

2015 Threat and Hazard Identification and Risk Assessment (THIRA)

Approval Authority Meeting
July 9, 2015



THIRA Based on DHS Guidance & Analytics

DHS Guidance

Guidance Docs

- CPG 201 2nd Edition
- National Preparedness Goal
- HSGP Guidance

DHS Concepts

- Whole Community
- Core Capabilities
- National Preparedness System

Analytical Approach

Data Driven Inputs

- Risk Analysis
- Capability Assessment
- Gap Analysis

Local SME Inputs

- CIKR Catalog
- Risk Verification
- Capability Verification
- Project Lists - UASI, Mitigation, etc.

THIRA



Major Components of the THIRA

This THIRA is organized around the following components:

- Step 1: Identify the Threats and Hazards of Concern
- Step 2: Give the Threats and Hazards Context
- Step 3: Establish Capability Targets
- Step 4: Apply the Results/Resource Requirements





Step 1: Identify Threats & Hazards of Concern

Natural	Technological	Human-caused
Resulting from acts of nature	Involves accidents or the failures of systems and structures	Caused by the intentional actions of an adversary
<ul style="list-style-type: none">• Earthquake• Wildfire• Flood	<ul style="list-style-type: none">• Bay Container Ship Oil Spill• Heavy Rail Train Derailment Oil Spill	<ul style="list-style-type: none">• VBIED• Cyber• Radiological/Nuclear

Step 2: Give the Threats and Hazards Context



Review and create descriptions of NINE threats and hazards identified in Step 1 by leveraging:

- Local Plans
- Real World Scenarios
- Open Source Research

Strike a balance between too general and too specific, to ensure practical application:

- Leverage standard, consistent definitions
- Customize definitions based on your UASIs individual data and profile



Step 3: Establish Capability Targets



Identify desired outcomes and estimated impacts by:

- Using risk analysis outputs to provide an analytic framework
- Examining each core capability in context of high risk jurisdictions and sectors
- Reviewing the vulnerability and consequence inputs for your UASI
- Incorporating guidance from CPG 201 with regard to quantifying outcomes

Set Capability Targets Grounded in Analytics:

- Conduct capability assessment to measure current abilities
- Map capability assessment to risk to identify risk-based gap
- Gap analysis drives targets in a data-driven, analytically sound manner
- Map desired outcomes and estimated impacts together to inform capability targets



Step 4: Apply the Results



DSI's Approach for Resource Estimation Focuses on 4 Principal Inputs:

- Real World Events
- Resource Modelling and Estimation Sources
- Open Source Research
- Local Plans

Resource Requirements:

- 18 Core Capabilities
- Output of step 4: List of resources required to achieve the identified capability targets
 - Identify the major actions needed to achieve capability targets
 - Consider the numbers and types of resources needed to complete each mission-critical activity in support of the capability targets
 - Develop resource requirements expressed as a list of NIMS-typed resources, when possible, or other standardized resources





Next Steps

- Review of Draft Scenarios
- Workshop
- Present THIRA for approval at November Bay Area UASI Approval Authority Meeting
- Submit THIRA to FEMA by December 31, 2014



Thank you.

BAY AREA UASI





To: Bay Area UASI Approval Authority

From: David Frazer, UASI Risk & Information Sharing Project Manager

Date: July 9, 2015

Re: Item 5: FY15-16 Asset Risk Update

Staff Recommendation:

None

Action or Discussion Items:

Discussion only

Discussion:

The asset risk update component of annual Risk Management Program is complete. The information has been entered into the Risk Assessment Center and the results regarding the asset risk portion at county and hub level will be presented and compared to last year's results.

See Appendix A

BAY AREA UASI

FY 2015-2016
Bay Area UASI

Capability and Asset Risk
Update

July 9, 2015



Background

- DHS and UASI Approval Authority Bylaws require a risk and capability-based methodology to apply for and allocate grant funds
- Since July 2009 the Bay Area UASI has conducted a cross-jurisdictional, cross-functional risk management program to:
 - Set priorities
 - Develop/compare courses of action
 - Inform decision-making
- An on-going effort with continuous data input, validation, regularly scheduled assessments, and annual reporting





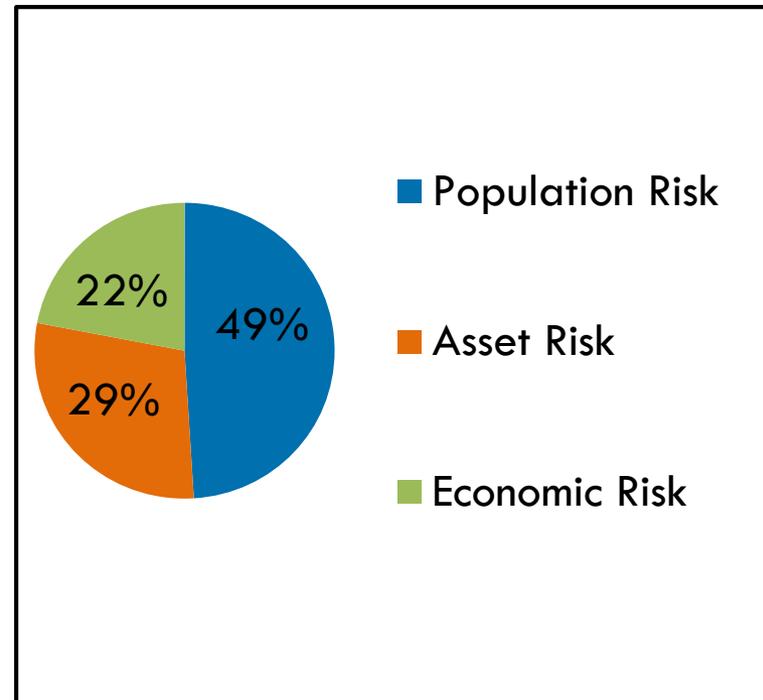
Cal COP - Risk Analysis Center

- California Common Operating Picture (Cal COP) for Threat Awareness
 - Formerly Digital Sandbox 7 (DS7)
 - Used by all eight California UASIs
- Supports a State/local approach to risk management
- Assists state and local risk management communities:
 - understand and identify risk
 - based on threats, vulnerabilities and consequences
 - risk prioritization methodology to align resources to needs
- Supports a common view of risk
 - Complies with national guidelines, agency standards and regulations



Asset Risk: Only one part of the formula

- As adopted by the Bay Area UASI Approval Authority
- Percentage distribution may change depending upon DHS guidance
- Each year the Management Team presents the risk formula to the Approval Authority for approval pending release of DHS grant guidance



Asset Update and Risk Validation - Process

- Users created, updated and validated asset data in Cal COP (March– April)
 - This phase included adding/updating asset details, priority levels, vulnerability and consequence scores (VHEMP), and field assessments (where appropriate) in the Cal COP
 - Added 80 new users (currently 283 Bay Area users); 75 Protected Critical Infrastructure Information (PCII) users
- The NCRIC conducted a review of the updated asset information and validated data items that effect risk (June)

The NCRIC submits the updated asset information to the DHS PCII office for certification.





Asset Update and Risk Validation - Process

- Each year the NCRIC suggests sectors to focus on to improve the data quality of the asset catalogue
- Education Sub-Sector Assets
 - Each Operational Area was provided a list of school assets and asked to review priority levels to standardize across the Bay Area based on the following criteria:
 - Priority I = Universities with large medical research and laboratory facilities
 - Priority II = Schools with population 1,000+
 - Priority III = Schools with population under 1,000





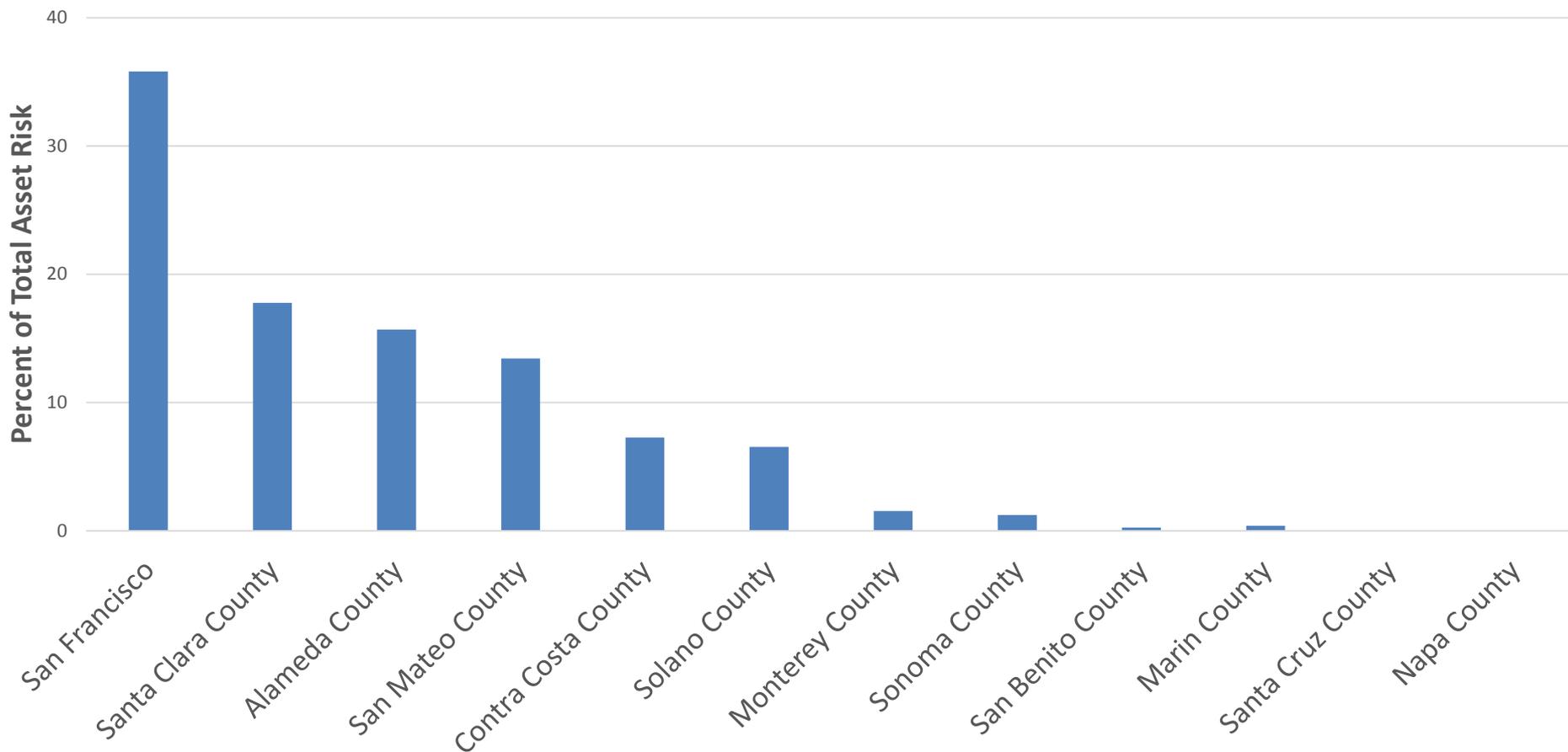
Asset Update and Risk Validation - Outcomes

- The Bay Area asset catalog increased 5% from 16,017 to 16,445
- 3 Asset Priority Assessments
- 11 VHEMP Assessments completed
- 16 Field Assessments completed
- Standardized school asset priorities except Oakland, Alameda, Napa, and Santa Cruz



2015 County Asset Risk

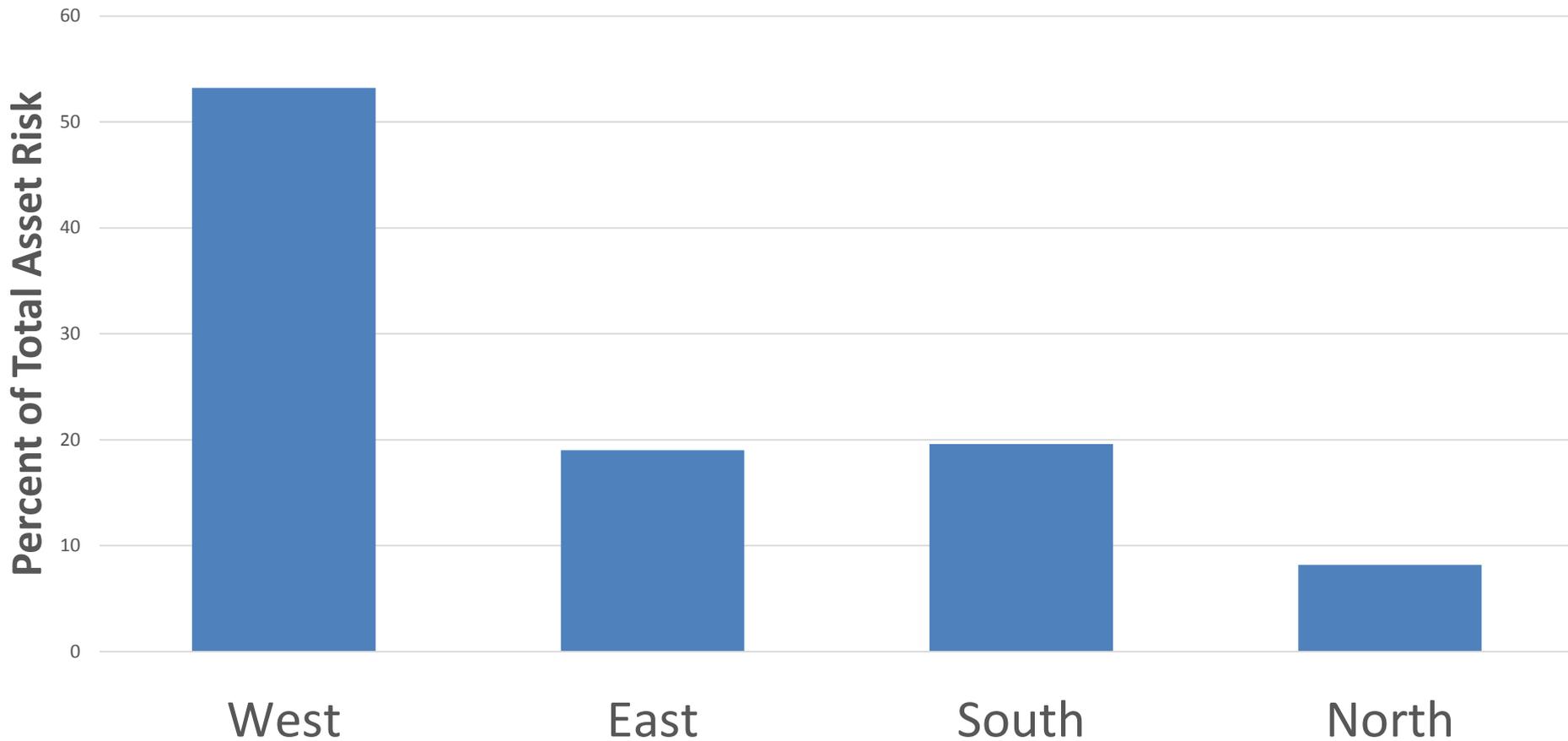
County Asset Risk





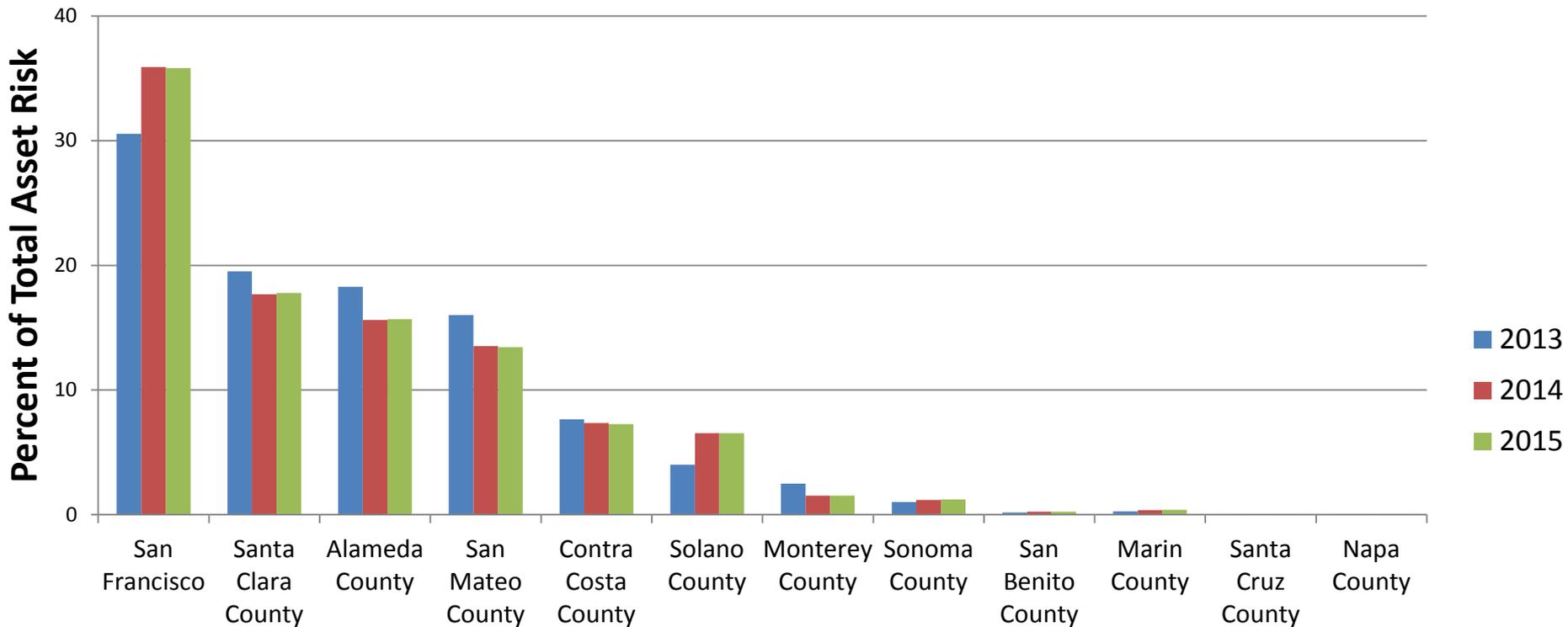
2015 Hub Asset Risk

Hub Asset Risk



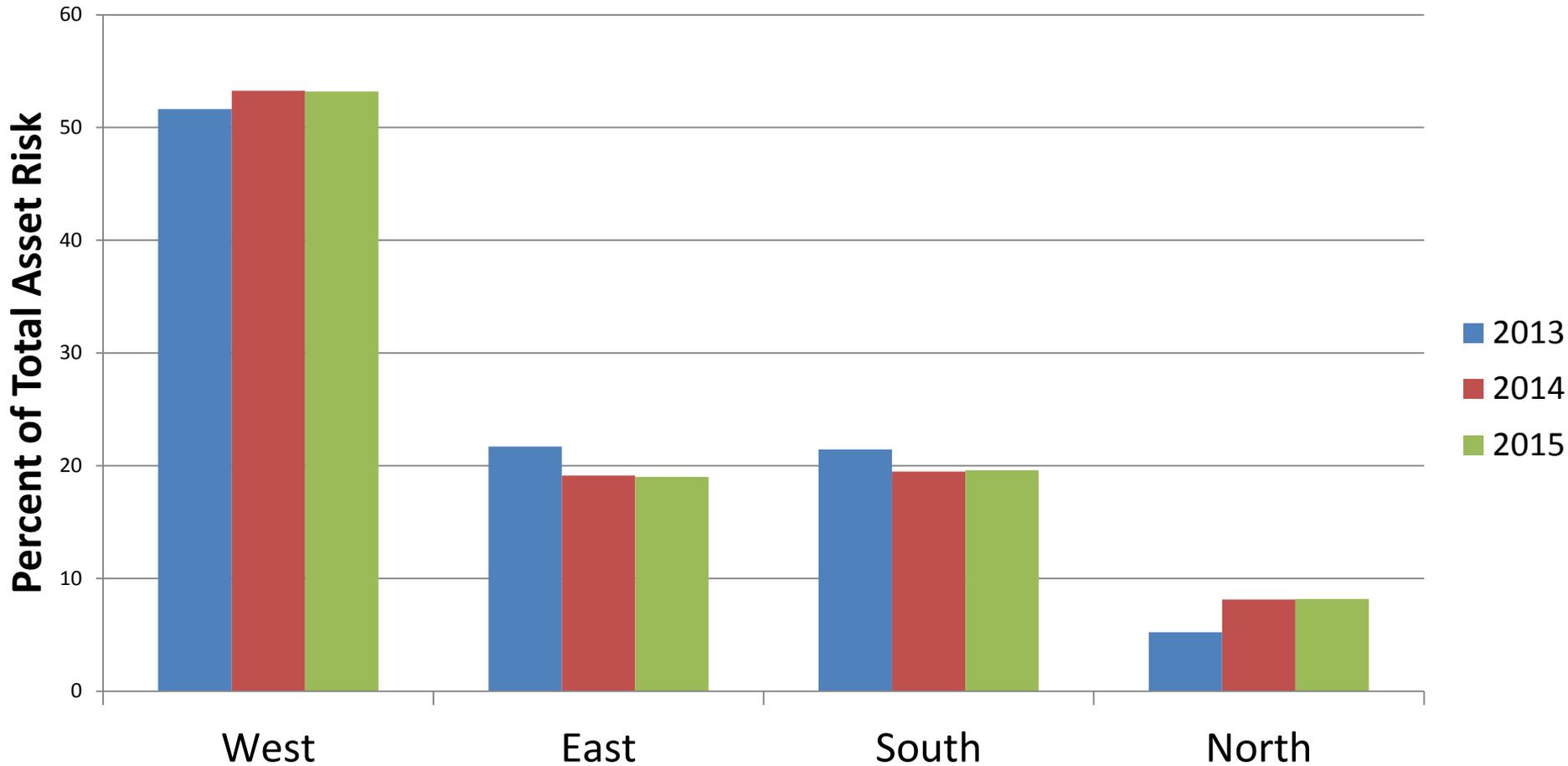
Historical County Asset Risk Comparison

County Asset Risk



Historical Hub Asset Risk Comparison

Hub Asset Risk





Capability Assessment – Op Area & Regional

- 9 Op Areas and 3 Core Cities completed Capability Assessments
- The Regional Capability Assessment of the common core capabilities was conducted in a workshop format using subject matter experts from across Bay Area jurisdictions and multiple disciplinary groups. (May 20th)
- UASI Project Managers conducted Regional Capabilities Assessments using existing SME workgroups; CBRNE, InterOP, Cyber, PI&W, Medical Health, Training and Exercise.
- The results were entered into the Cal COP Assessment Tool to provide a Gap Analysis.



Thank you.

BAY AREA UASI





To: Bay Area UASI Approval Authority
From: Corinne Bartshire, Regional Project Manager
Date: July 9, 2015
Re: Item 6: Catastrophic Plan Just In Time EOC Training Videos

Staff Recommendation:

None

Action or Discussion Item:

Discussion only

Background:

Development of Just-In-Time EOC Training Videos was initiated with the RCPGP grant to support the current Regional Catastrophic Earthquake Plans. Bay Area stakeholders have been engaged throughout the development of the videos through a sub-committee to the RCPT for reviewing and vetting both the written scripts and fully produced videos. Local subject matter experts were relied upon for accuracy and participated in interviews included in the videos. The RCPT sub-committee and other Bay Area partners provided numerous stakeholder reviews and offered many positive comments throughout the video development process.

Discussion:

This item serves as a closeout briefing on the Bay Area UASI's development of 8 Just-In-Time EOC Training Videos based on the Regional Catastrophic Earthquake Plans. The video series is designed to be used in EOCs at local city and county governments. They may be used as a training tool for new EOC staff prior to an activation, or just-in-time if staff are reporting to an EOC for the first time.

The video series consists of the following videos which may be viewed online or downloaded from the UASI website. A USB drive containing all of the videos is being provided to each of the UASI jurisdictions.

- Introductory Video
- Volunteer Management

- Donations Management
- Mass Fatality
- Mass Care and Sheltering (including Interim Housing)
- Mass Transportation and Evacuation
- Debris Management
- Logistics

The attached Appendix A is a PowerPoint presentation to support discussion of this item.

BAY AREA UASI

Just-In-Time EOC Training Videos

July 9, 2015

Corinne Bartshire
Regional Project Manager
Bay Area UASI



Complete Video Series

JIT TRAINING VIDEOS

Volunteer Management

Non-Government Partners

Non-Governmental Organizations (NGO)



Donations Management

Donations Coordination Team (DCT)

Grantmaking Organizations
Philanthropic Organizations

Mass Care and Shelter Interim Housing

SHELTER LOCATIONS

Red Cross Liason

Local Plan Appendix B (Maps)



Mass Fatality

CORONER

Sheriff/Coroner
Coroner
Medical Examiner



Debris Removal

Debris Clearance



Mass Transportation and Evacuation

RESOURCE COORDINATION

Transportation Agencies



Logistics

DONATIONS DEBRIS MANAGEMENT VOLUNTEER MANAGEMENT

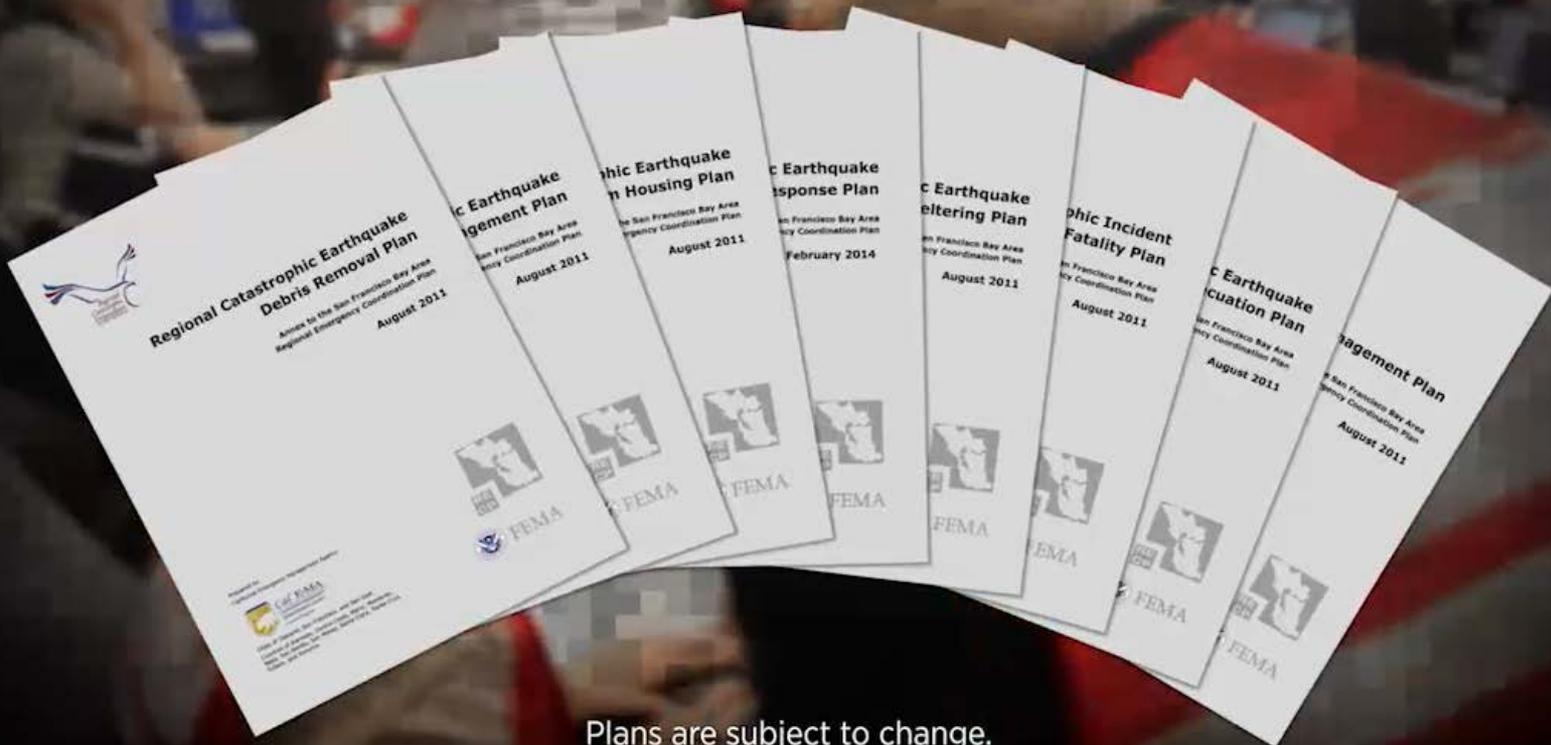
Logistics Response & Support

MASS FATALITY MASS TRANSPORTATION



Catastrophic Planning

Bay Area Regional Catastrophic Earthquake Plans



Plans are subject to change.
Check for most current plan.

00:48





Video Highlights

Core Responsibilities

COMMUNICATION & COORDINATION

- Government
- Partners

INFORMATION MANAGEMENT

- Data Tracking & Reporting

RESOURCE MANAGEMENT

- Make & Fulfill Requests

PUBLIC INFORMATION

- Crafting Messages



Video Highlights



Priorities & Response Timeline

Event

Days 1-3 (E+72 Hours)

Days 4-14

Days 15-60



Video Highlights





Stakeholder Engagement

Wow! Nice job on the video. The video is well done.

-Dana Reed, Santa Clara County on the draft mass fatality video.

Great work. The graphics are good and very well incorporated.

-Cathey Eide, City of Oakland, on the draft introductory video.

I think this would be really helpful for someone new in the EOC handling donations management.

-Deborah Patten, Human Services Agency, San Mateo County on the draft donations management video.

The video looks great!

-Ken Binkley, Contra Costa County on the final draft volunteer management video.

Great quality video! Thanks so much for all the work!

-Cay Denise MacKenzie on the final draft volunteer management video.

Questions

BAY AREA UASI





To: Bay Area UASI Approval Authority
From: Ethan Baker, Emergency Services Coordinator
Date: July 9, 2015
Re: Item 7: WebGrants Grants Management System

Staff Recommendation:

None

Action or Discussion Items:

Discussion only

Discussion:

This item is an update on the Bay Area UASI's grants management system project. In June 2014, the Bay Area UASI management team initiated a RFP process to select a vendor to supply a cloud based grants management system. In February 2015, the Bay Area UASI contracted with Dulles Technology Partners, Inc. to customize their WebGrants product to meet the unique needs of the Bay Area UASI. The WebGrants system is a full lifecycle grants management tool. The system is integrated into the Bay Area UASI website and available for users to manage their accounts.

System highlights include:

- Accepting grant applications
- MOU development
- Milestone development & automated reminders
- Project change requests
- Workflow routing
- Submitting reimbursement packages
- Viewing updated budget amounts

The WebGrants system is live and operational now. It is currently undergoing final testing and will be fully deployed for the FY16 project proposal process. A system training webinar will be conducted for subrecipient users on August 24, 2015.

BAY AREA UASI

WebGrants Grant Management System Summary Report

July 9, 2015

Ethan Baker
Emergency Services Coordinator
Bay Area UASI



Grants Management Login Page

System Compatibility

 [Log In](#)

<h3>Log In</h3>	
User ID:*	<input type="text"/>
Password:*	<input type="password"/>
<input type="button" value="Log In"/>	
Forgot Password?	


New to WebGrants - Baseline? Register Here

WebGrants User Dashboard

 [Menu](#) |  [Help](#) |  [Log Out](#)

 [Back](#) |  [Print](#) |  [Add](#) |  [Delete](#) |  [Edit](#) |  [Save](#)

 **Welcome** Joe Hughes

Main Menu

Click Help above to view instructions. Go to "My Profile" to reset password.

-  [Instructions](#)
-  [My Profile](#)
-  [Funding Opportunities](#)
-  [My Applications](#)
-  [My Grants](#)

WebGrants Admin Dashboard

 [Menu](#) |  [Help](#) |  [Log Out](#)

 [Back](#) |  [Print](#) |  [Add](#) |  [Delete](#) |  [Edit](#) |  [Save](#)

 **Welcome** *Ethan Baker*

Main Menu

Click Help above to view instructions. Go to "My Profile" to reset password.

-  [Dashboard View](#)
-  [People](#)
-  [Organizations](#)
-  [Awards](#)
-  [Funding Opportunities](#)
-  [Submitted Applications](#)
-  [Static Panels](#)
-  [Panels](#)
-  [Conflicts of Interest](#)
-  [My Reviews](#)
-  [Final Approval](#)
-  [Grant Tracking](#)
-  [Reports](#)
-  [My Profile](#)
-  [Utilities](#)



WebGrants Capabilities

Grant Applications

Grant Tracking & Reimbursements

Communication & Outreach

Questions

BAY AREA UASI





To: Bay Area UASI Approval Authority
From: Barry Fraser, BayRICS General Manager
Date: July 9, 2015
Re: Item 8: BayRICS JPA Quarterly Report

Staff Recommendations:

None

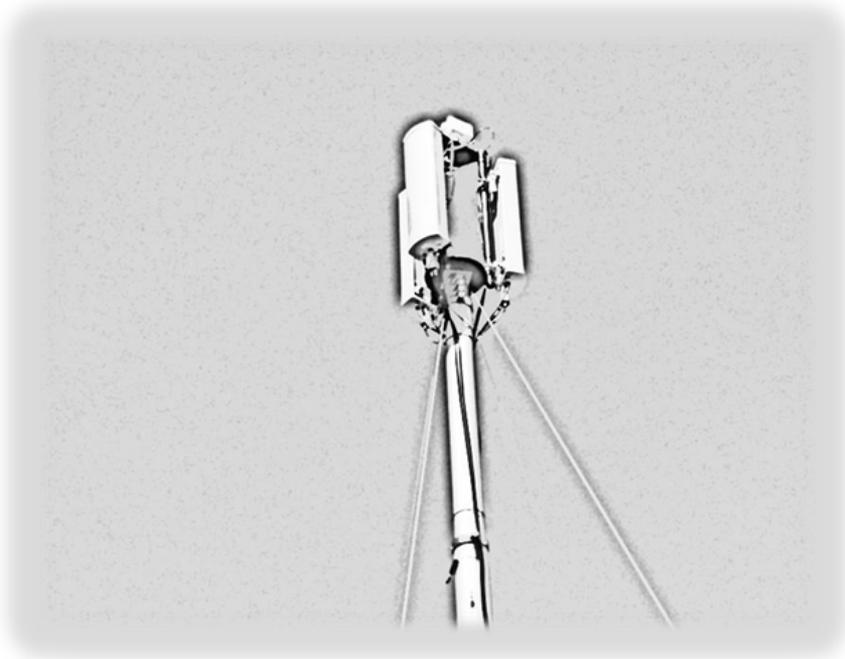
Action or Discussion Items:

Discussion only

Discussion:

The BayRICS General Manager will provide a quarterly report on the strategic initiatives, progress report and future goals of the BayRICS Authority for March 2015 – May 2015. The attached Appendix A is a PowerPoint presentation summarizing the highlights of the report.

BAYRICS UPDATE FOR BAY AREA UASI



**Barry Fraser
General Manager
BayRICS Authority
July 9, 2015**

FIRSTNET PROGRESS

- Two public comment notices issued
- Draft Request for Proposals (RFP)
- State planning process runs concurrently with RFP
- Five year system construction begins in 2018

FIRSTNET DRAFT RFP

- Released on April 27, 2015
- Opportunity to provide feedback on proposed network acquisition strategy
- BayRICS TAC is reviewing the RFP Documents (300+ pages)
- Comments Due on July 27, 2015

PUBLIC SAFETY ADVISORY COMMITTEE (PSAC)

Focus:

- Priority, Preemption, Quality of Service (PQoS)
- Public Safety Grade (PSG) Hardening
- User Devices



PSAC PARTNERSHIPS

- National Public Safety Telecommunications Council (NPSTC)



- Public Safety Communications Research Labs (PSCR)

CALFRN

Initial Consultation Meeting with FirstNet - July 28-30 in Sacramento:

- Identify Needs
- Federal, State, Local Partnerships
- Challenges
- Security and information mgmt.
- Data collection

To attend: CalFRN@caloes.ca.gov or 916-657-9466

BRIDGING THE VOICE- DATA GAP

1. P25 System Operators Advisory Group
2. BayLoop Advisory Group
3. BayRICS Pilot & Demonstration Projects
4. Plan for Future Voice & Data Convergence

COMMUNICATIONS

- BayRICS Blog & Weekly Digest
- Web Resources and Tools:
www.BayRICS.net
- Outreach

The screenshot shows a web page with a dark blue header containing navigation links: Home, About, Document Library, Board Meetings, TAC Meetings, Interoperable Communications, and News and Notes Blog. The main content area features a blue banner for the "PUBLIC SAFETY BROADBAND CONSULTATION PREP WORKSHOP" on Wednesday, October 1, 2014, at South Coast Air Quality Management District, 21865 Copley Drive, Diamond Bar, CA 91765, from 9:00 AM to 4:00 PM. Below the banner is a flyer image showing emergency responders. The text on the page invites attendees to the CalFRN Board Meeting and Public Safety Broadband Consultation Prep Workshop. It lists the agenda: an introduction to Broadband, updates on FirstNet, the State consultation process, and coverage objectives. A list of topics to be discussed includes OEC's coverage baseline, national trends, users and future network service, critical input on California's unique needs, and the California First Responder Network Board of Directors. The page also includes a "Categories" list (All, BayRICS News, CalFRN, FirstNet, Interoperability, Job Announcements, Lessons Learned, LMR, LTE, NG 911, Program Roadmap, PSAC, Standards, State Consultation) and an RSS Feed icon. At the bottom, it states that the meeting is open to the public and provides contact information for registration: CalFRN@caloes.ca.gov or phone 916-657-9466.

QUESTIONS?





To: Bay Area UASI Approval Authority
From: Tristan Levardo, CFO
Date: July 9, 2015
Re: Item 9: FY2013 UASI Spending Report

Staff Recommendation:

None

Action or Discussion Item:

Discussion only

Summary

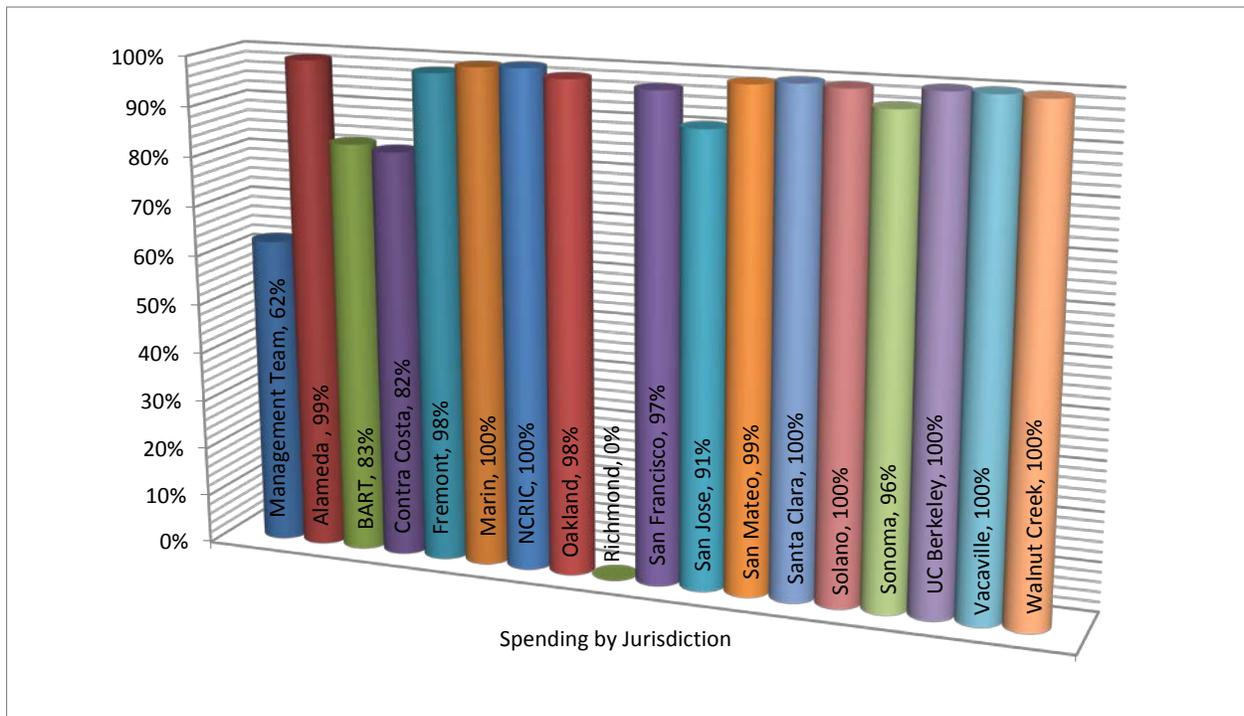
With an original grant expiration date of May 31, 2015, the Bay Area UASI received an extension of the FY13 UASI grant performance period up to July 31, 2015 to allow the close out of the information sharing and cyber security projects. The final unspent funds from the jurisdictions as listed below are used for the regional procurement and reallocated to the regional projects.

Financial Information:

Jurisdiction	Budget	Spent	Spent %	Obligated	Unspent Funds
Management Team	2,463,025	1,537,668	62%	925,357	
Alameda	5,600,150	5,558,906	99%		41,244
BART	109,170	90,823	83%		18,347
Contra Costa	354,000	290,992	82%		63,008
Fremont	70,000	68,683	98%		1,317
Marin	121,615	121,268	99%		347
NCRIC	5,093,251	5,093,209	99%		42
Oakland	1,015,000	999,016	98%		15,984
Richmond	300,000		0%		300,000

Jurisdiction	Budget	Spent	Spent %	Obligated	Unspent Funds
San Francisco	3,301,321	3,211,350	97%		89,471
San Jose	865,000	783,671	91%		81,329
San Mateo	1,126,654	1,119,277	99%		7,377
Santa Clara	2,468,161	2,467,219	99%		942
Solano	70,625	70,307	99%		318
Sonoma	390,683	376,280	96%		14,403
UC, Berkeley	30,145	30,145	100%		
Vacaville	60,743	60,743	100%		
Walnut Creek	193,130	192,535	99%		595
Total	23,632,173	22,072,092	93%	925,357	634,724

FY13 UASI SPENDING



UASI Approval Authority and Management Team Tracking Tool

July 9, 2015 Approval Authority Meeting

Special Request Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
1	Risk and Gap Report	Presentation	Catherine Spaulding	4/14/15	8/13/15	
2	FY13 Unspent Funds	Presentation	Catherine Spaulding	6/18/15	8/13/15	
3	Bay Area Homeland Security Goals and Objectives	Presentation	Catherine Spaulding	11/18/14	8/13/15	
4	FY16 UASI Proposal Guidance	Presentation	Catherine Spaulding	11/18/14	8/13/15	
6	Urban Shield 2015	Presentation	Tom Wright and Corinne Bartshire	2/25/15	8/13/15	
7	Super Bowl 50 Regional Preparation	Presentation	Corinne Bartshire	4/14/15	8/13/15	
8	Website Redesign Update	Presentation	Ethan Baker	2/25/15	8/13/15	
5	American Red Cross Update	Presentation	Tracey McBroom, ARC	6/3/15	10/8/15	
9	Training and Exercise Multi-year Plan	Presentation	Tom Wright	5/19/2015	10/8/15	
10	Regional Public Health and Medical Report Out	Presentation	Eric Shanks	4/14/15	10/8/15	
11	Resource Inventory Project Update	Presentation	Mikyung Kim	6/15/15	10/8/15	
12	THIRA	Presentation	Jason Carroll	5/19/15	11/12/15	
13	Cyber Focus Group Report Out	Presentation	Dave Frazer	5/19/15	11/12/15	
14	Briefing on Upcoming Hub Meetings	Presentation	Janell Myhre	7/1/15	11/12/15	
15	Proposed Regional FY16 Projects	Presentation	TBD	5/19/15	1/14/16	
16	Proposed Regional FY16 Projects con't. (if needed)	Presentation	TBD	5/19/15	2/11/16	
17	Public Information and Warning project close out	Presentation	TBD	6/17/15	2/11/16	
18	UASI FY16 Allocations	Presentation	Catherine Spaulding	5/19/15	4/14/16	

19	FEMA IX Medical Counter Measures planning update	Presentation	Dr. Erica Pan (TBC)	6/17/15	11/10/16	
20						
<i>Schedule of reoccurring agenda items is below</i>						

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levardo		7/9/15 8/13/15 10/8/15 11/12/15 1/14/16	FY13 UASI Spending Report FY14 UASI Spending Report UASI Travel Expenditures Reallocation of Grant Funds FY15 UASI Spending Report
B	BayRICS JPA Quarterly Report	Report	Barry Fraser		7/9/15 10/8/15 1/14/16 4/14/16 10/13/16	BayRICS JPA Report
C	Election of UASI Officers	Discussion & Action Item	Chair		1/14/16 (Annually)	
D	Reallocation of Grant Funds	Report	Tristan Levardo		11/12/15 (Biannually)	