BAY AREA UASI

UASI FY17
Project Proposal
KICK OFF meeting

Thursday, September 22, 2016
10:00 – 11:00am

Alameda County Sheriff's Office
4985 Broder Blvd., Dublin, CA

Agenda

Welcome and Introductions

The FY17 UASI Program

- Overview, Proposal Criteria, Priority Capability Objectives, Non-Eligible Capability Objectives

Proposal Application Guidelines

- Categories of Funding, FY'17 Funding Planning Amounts, Role in Proposal Process, Allowable Spending Guidelines, [Project Proposal Guidance for Fiscal Year 2017](#)

Recap and Timeline

- Proposal Submission Checklist, Useful Tips and Reminders, Proposal Submission Timeline

Completing/Submitting the Project Proposal Form

- WebGrants Tutorial

Questions and Answers

UASI Grant Overview

The purpose of the Urban Areas Security Initiative (UASI) program is to enhance regional terrorism preparedness in major metropolitan areas by developing integrated systems for terrorism prevention, protection, response, and recovery.

All proposals must meet the following criteria:

- Have a clear "nexus to terrorism".

- Directly benefit at least two operational areas.

- Enhance the region's priority capability objectives (§ 12).

- Include only allowable expenses under UASI grant guidelines (§ 15).

Proposals that do not meet the above criteria will be ineligible and will not proceed further in the review process.

Priority Capability Objectives (§ 12)

Priority capability objectives are derived each year from the region's risk analysis process which identifies the highest risk and gap areas based on asset risk, threat information, and subject matter expert capability assessments.

In order to be eligible for FY17 funding, all proposed projects must fulfill at least one of these priority capability objectives.

Priority Capability Objectives (§ 12)

Goal 2: Information Analysis & Infrastructure Protection

Objective 2.1 Intelligence Collection, Analysis and Sharing

Collect, analyze and share information and intelligence to achieve awareness, prevention, protection, mitigation, and response concerning a terrorist attack or other emergency.

Objective 2.2 Terrorism Attribution, Interdiction and Disruption

Conduct forensic analysis; attribute terrorist threats; and identify, deter, detect, disrupt, investigate, and apprehend suspects involved in terrorist activities.

Objective 2.3 Infrastructure Protection

Assess risk to the region's physical and cyber critical infrastructure and key resource, enhance protection, and reduce risk from all hazards.

Goal 3: Communications Capabilities

Objective 3.1 Operational Communications

Provide voice and data information among multi-jurisdictional and multi-disciplinary responders, command posts, agencies, and officials during an emergency response.

Objective 3.2 Emergency Public Information and Warning

Provide public and information and warning to affected members of the community in order to save lives and property.

Goal 4: CBRNE Detection, Response, and Decontamination

Objective 4.1 Screening Search and Detection

Detect, locate and identify CBRNE materials and communicate relevant information to appropriate entities at the state and federal level.

Objective 4.5 Critical Resource Logistics

Secure supply nodes and provide emergency power, fuel support for responders, access to community staples, and fire and other first response services.

Priority Capability Objectives (§ 12)

Goal 6: Emergency Planning and Community Preparedness

Objective 6.2 Critical Transportation

Evacuate people and animals as well as deliver response personnel, equipment, and services in order to save lives and assist survivors.

Objective 6.3 Mass Care

Provide sheltering, feeding, family reunification, and bulk distribution for populations impacted by emergency incidents.

Priority Capability Objectives (§ 12)

Goal 7: Recovery

Objective 7.1 Infrastructure Systems

Restore critical lifelines through providing timely assessments and getting personnel and equipment to disaster scenes.

Non-Eligible Capability Objectives

Non-Eligible Capability Objectives

Goal 1: Risk Management and Planning

Objective 1.1 Planning, Threat and Hazard Identification, and Risk Management

Assess threats and hazards, prioritize investments in response, monitor the outcomes of allocation decisions, and take corrective and sustainment actions.

Non-Eligible Capability Objectives

Goal 4: CBRNE Detection, Response and Decontamination

Objective 4.2 On-Scene Security and Protection

Secure an incident scene and maintain law and order following an incident or emergency.

Objective 4.3 Mass Search and Rescue

Conduct search and rescue operations to rescue persons in distress and initiate community-based support operations.

Objective 4.4 Environmental Response/Health and Safety

Conduct assessments and disseminate resources to support immediate environmental health and safety operations.

Non-Eligible Capability Objective

Goal 5: Medical and Public Health Preparedness

Objective 5.1 Public Health and Medical Services

Provide lifesaving medical treatment and public health and medical support to people in need within areas affected by emergencies.

Objective 5.2 Fatality Management

Recover, handle, identify, transport, track, store, and dispose of human remains and personal effects; certify the cause of death; and facilitate needed access to behavioral health services.

Non-Eligible Capability Objective

Goal 6: Emergency Planning and Community Preparedness

Objective 6.1 Operational Coordination

Manage major incidents effectively through an integrated response system.

Objective 6.4 Community Resiliency

Collaborate with the whole community to prevent, protect, mitigate, prepare for, respond to and recover from all threats and hazards.

Non-Eligible Capability Objective

Goal 7: Recovery

Objective 7.2 Economic and Social Recovery

Implement housing solutions, restore health and social services, and promote business activities to recover from all threats and hazards.

Objective 7.3 Natural and Cultural Resources

Conserve, rehabilitate, and restore natural and cultural resources and historic properties in response to all threats and hazards.

The table on page 26 of the *Project Proposal Guidance for FY 17* includes items for which jurisdictions have frequently sought homeland security dollars in the past and designates whether such items are:

(1) In compliance for the Bay Area UASI FY 17 grant cycle in terms of meeting the FY 17 priority capability objectives; and

(2) On the controlled equipment list.

Categories of Funding

- Regional

- Hub

- Core City

North Bay Hub

South Bay Hub

East Bay Hub
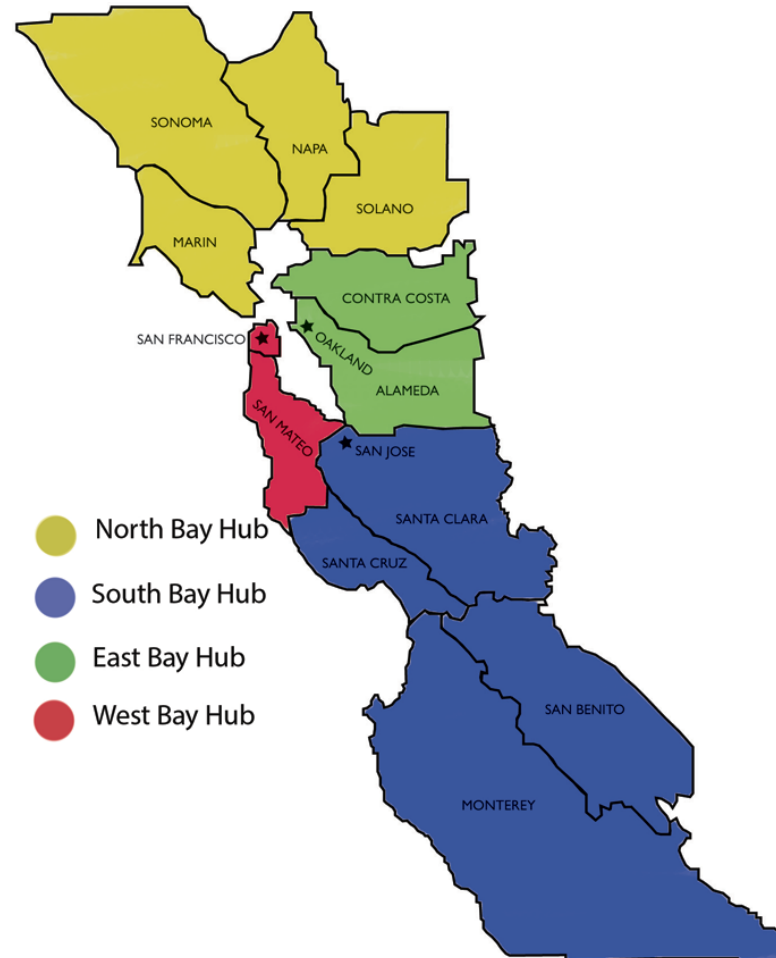
West Bay Hub

FY'17 Funding Planning Amounts (§ 11)

Actual FY 2016 Allocations	
East Bay Hub	$ 1,306,127
North Bay Hub	$ 440,410
South Bay Hub	$ 1,329,007
West Bay Hub	$ 2,306,729
Regional	$10,738,048

The above funding amounts are based on FY16 UASI allocations. Until the FY17 grant award is announced, for planning purposes, the Bay Area will operate under the assumption that the FY17 funding will be equal to the amount allocated in FY16.

Role in Proposal Process (§§ 7-10)

Work Groups – Subject matter experts that discuss possible project proposals.

Hubs – Prioritizes proposed projects submitted by stakeholders.

Regional Proposal Work Group – Reviews and provides feedback on certain regional project proposals.

Approval Authority – Reviews/approves all regional and hub recommended project proposals.

Some jurisdictions undergo an internal vetting process of their own to identify which proposals should be submitted for funding. Please contact your OES Manager or leadership from your public safety agencies to coordinate your project submission.

Allowable Spending Guidelines (§ 15)

Eligible expenditure categories:

- **P - PLANNING**

- **O - ORGANIZATION**

- **E - EQUIPMENT**

- **T - TRAINING**

- **E - EXERCISE**

Planning Category

Funds can be used for emergency preparedness and management planning activities associated with the development, and review and revision of the THIRA, SPR, continuity of operations plans and other planning activities that support the goal and placing an emphasis on updating and maintaining a current EOP that conforms to FEMA guidelines found at: FEMA CPG 101 v 2.0

Each position must be submitted individually as a project with its own proposal, and each position must include a specific deliverable that clearly ties to the selected priority capability objective.

Organization Category

Examples of what funds may be used for:

- Program management

- Operational support

- Structures and mechanisms for information sharing between the public and private sector

- Responding to an increase in the threat level under the National Terrorism Advisory System (NTAS)

- Salaries and benefits for personnel to serve as qualified intelligence analysts

Equipment Category

The allowable prevention, protection, mitigation, response, and recovery equipment categories and equipment standards are listed on the Authorized Equipment List (AEL) that can be found at: http://beta.fema.gov/authorized-equipment-list

Any proposed equipment project must be broken down by:

- AEL #
- description
- sales tax
- shipping
- installation
- Training (vendor training on equipment item)

FEMA Controlled Equipment (§ 13 and 15.8)

All proposals that seek funding for items on FEMA's controlled equipment list must complete *FEMA Form 087-0-0-1* as part of their proposal application.

Refer to *IB 407 Use of Grant Funds for Controlled Equipment* for the complete *Controlled Equipment List*, information regarding the *Controlled Equipment Request Form*, and a description of the specific requirements for acquiring controlled equipment with DHS/FEMA grant funds.

Training & Exercise Category (§§ 15.4 - 15.5)

The Regional Training and Exercise Program will be responsible for reviewing and approving all training and exercise project proposals. It is recommended that all training and exercise requests be coordinated and/or submitted through the usual UASI Regional Training and Exercise Program process.

Submit regional T&E requests at: https://www.bauasitep.org/

You may also submit regional or hub proposals supporting training and exercise efforts providing it falls into an approved priority capability objective.

Proposal Submission Checklist

❑ Follow the *Project Proposal Guidance for FY 2017*.

❑ Ensure a clearly described "nexus to terrorism".

❑ Proposal must state how it fulfills at least one of the priority capability objectives (§ 12).

❑ Hubs & Core Cities: Your proposal must describe how it benefits at least two operational areas.

❑ Regional: Your proposal must describe how it benefits at least three hubs in an equitable manner.

Proposal Submission Checklist

- ❑ Proposal should only include allowable expenses under UASI grant guidelines (§ 15).

- ❑ Proposal must be submitted by a government agency within the 12 county Bay Area footprint.

- ❑ Proposal should be submitted by the person primarily responsible for project implementation (project lead).

- ❑ Proposals must have relevant department head approval.

Useful Tips and Reminders

- All Kick-Off documents will be posted on the UASI website www.bayareauasi.org/proposals

- Stakeholder roles are detailed in the Project Proposal Guidance.

- Reminder emails will be sent throughout the submission timeline.

- "Below the line" projects from prior years must be re-submitted for consideration in FY17.

Useful Tips and Reminders

- Core City proposals must be submitted using the same proposal process timeframe and tools.

- Determine Compliance Requirements Early – RFP, Sole Source, EHP, Performance Bond, EOC, Watercraft and Aviation.

- Grant has a mandated cap on personnel costs; therefore, there may be a limit in the number of personnel projects funded.

Proposal Submission Timeline (§ 14)

WHEN	DETAILS
9/23/16 - 10/14/16	Stakeholders submit proposals through the WebGrants system.
10/17/16 - 12/16/16	Proposals are reviewed by the UASI Management Team, Approval Authority Members, and Hub Voting Members.
01/2017	Hubs meet to prioritize projects for funding.
01/12/17	Approval Authority meets to hear and approve "level one" regional projects.
02/23/17	Regional Proposal WorkGroup meets to review "level two" regional projects.
04/13/17	Approval Authority meets to approve Hub and "level two" regional projects.

Link to Grants System

www.bayareauasigrants.org

Project Proposal Form
Demonstration

Online Submission Dates

Online Submissions **BEGIN**

Friday, September 23, 2016; 8:00AM

Online Submissions **END**

Friday, October 14, 2016; 5:00PM

Contacts

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Visit www.bayareauasi.org for the full grants management training, FY17 Project Proposal Guidance, meeting documents, and other information

Q&A

QUESTIONS AND ANSWERS

Bay Area Urban Areas Security Initiative

www.bayareauasi.org

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